

# Algebraic Geometry

3 Topics for part 1:

↳ Sheaves

↳ Schemes

↳ Morphisms between schemes

\*Email Arul the\* your thoughts on content & speed\*

This is largely a foundational course. There is no "big theorem" at the end.

There are (at least) two types of problem-solvers: people who open nuts with nutcrackers, and those who open them with water.

## S Algebraic Subsets

Defn. Given a set  $S \subseteq \mathbb{C}[x_1, \dots, x_n]$  of polynomials, define

$$V(S) := \{v \in \mathbb{C}^n : \forall f \in S \quad f(v) = 0\}$$

This is called an algebraic set.

Defn. Similarly, given an algebraic set  $V \subseteq \mathbb{C}^n$ , define

$$I(V) := \{f \in \mathbb{C}[x_1, \dots, x_n] : \forall v \in V \quad f(v) = 0\}$$

### Observations

① Given  $V$ ,  $I(V)$  is an ideal

② The union of two algebraic sets is algebraic:

$$V(S_1) \cup V(S_2) = V(S_1 \cup S_2)$$

③  $\bigcap_{\alpha} V(S_{\alpha}) = V(\bigcup_{\alpha} S_{\alpha})$  (algebraic sets are closed under arbitrary intersections)

④ The functions  $V$  and  $I$  are inclusion-reversing

$$I(V \cup V') = I(V) \cap I(V')$$

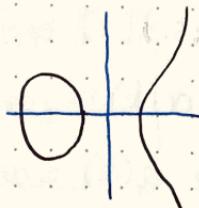
Exercise: Verify the above points ↗

## Examples

- $V(1) = \emptyset$        $\bullet V(0) = \mathbb{C}^n$

- ~~any affine space~~ is algebraic

- $S = \{y^2 - x^3 - Ax - B\}$



- $S = \{z^2 - y^2 - x^2\}$



- Case  $n=1$ :  $S = \{f_1(x), f_2(x), \dots\}$

$$V(S) = \bigcap \text{zeros of } f_i = \{z_1, \dots, z_k\} \subseteq \mathbb{C}$$

Define then  $f(x) = (x-z_1)(x-z_2) \dots (x-z_k)$ :

So  $V(S) = V(f)$

→ Algebraic subsets of  $\mathbb{C}$ :  $\{\text{finite sets}\} \cup \{\mathbb{C}\}$

**Thm:**

If  $V$  is an algebraic set, then  $\exists$  finite  $S \subseteq \mathbb{C}[x_1, \dots, x_n]$  such that  $V = V(S)$ .

Proof. We know  $V = V(T) = V((T))$ , so it is enough to prove every ideal is generated by a finite set of elements in  $(\mathbb{C}[x_1, \dots, x_n])$ . Then  $(T) = I = (S)$ , so  $V((T)) = V(I) = V(S)$ . So, we need to show  $(\mathbb{C}[x_1, \dots, x_n])$  is Noetherian. This follows from the following:

### Thm (Hilbert Basis Theorem)

If  $A$  is Noetherian, then  $A[x]$  is Noetherian.

Proof. Let  $\mathfrak{a} \subseteq A[x]$  be an ideal. We want to show it's f.g.

Defn.  $\mathfrak{a}(k) := \{\text{leading coefficients of deg-}k \text{ poly in } \mathfrak{a}\} \cup \{0\}$

Observe  $\mathfrak{a}(k)$  is an ideal of  $A$ . Furthermore,

$$\mathfrak{a}(1) \subseteq \mathfrak{a}(2) \subseteq \dots \subseteq A$$

(simply multiply by  $x$ )

$A$  Noetherian  $\Rightarrow \mathfrak{a}(k)$  stabilizes. (say at  $d$ )

For each  $k \leq d$ ,  $\mathfrak{a}(k) = (a_{k_1}, a_{k_2}, \dots, a_{k_{n_k}})$ , so  $\exists f_{k_1}, f_{k_2}, \dots, f_{k_{n_k}} \in \mathfrak{a}$  with corresponding leading coefficients.

Claim

$$\mathfrak{a} = (\underbrace{f_1, f_{1,2}, \dots, f_{1,n_1}}_s, f_2, f_{2,2}, \dots, f_{2,n_2}, \dots, f_d, f_{d,2}, \dots, f_{d,n_d})$$

Proof. Let  $f \in \mathfrak{a}$ ,  $f(x) = ax^m + \dots$ .  $\exists g \in S$  such that  $\deg g \leq \deg f$  such that  $g$  and  $f$  have the same leading coefficient, so  $f - g \cdot x^{\deg f - \deg g}$  has lower degree.

Proceeding by induction (**now?**), we can reduce  $f$  to the 0 by a series of elements of  $(S)$ , so we are done. ■

Q. When is  $V(S) = \emptyset$ ?

Thm (Weak Hilbert Nullstellensatz)

Suppose  $I \subsetneq \mathbb{C}[x_1, \dots, x_n]$  is proper.  
Then  $V(I) \neq \emptyset$

§ An important way of thinking:

For  $V = V(I) \subseteq \mathbb{C}^n$  algebraic and  $P \in V \subseteq \mathbb{C}^n$ , after we get a map

$$\frac{\mathbb{C}[x_1, \dots, x_n]}{I} \xrightarrow{\Phi_P} \mathbb{C}$$

Given by  $\Phi_P(f) = f(P)$ .  $\Phi_P$  is a  $\mathbb{C}$ -algebra homomorphism.

Conversely, given  $\Phi: \mathbb{C}[x_1, \dots, x_n]/I \rightarrow \mathbb{C}$ , we get a point  $P \in V(I)$ .

$$P = (\Phi(x_1), \Phi(x_2), \dots, \Phi(x_n))$$

[We will return to this correspondence later.]

Proof (WHN). Since  $I \subseteq m \Rightarrow V(I) \supseteq V(m)$ , we may assume  $I$  is maximal. WTS  $V(I)$  has a point  $\Leftrightarrow \mathbb{C}[x_1, \dots, x_n]/I \rightarrow \mathbb{C}$  exists.

We know  $\mathbb{C}[x_1, \dots, x_n]/I = K/\mathbb{C}$ , a field extension of  $\mathbb{C}$ .

Lemma (Zariski)

For  $L/K$  a field extension  
st.  $L$  is fin. gen. as a  $K$ -algebra, then  $[L:K] < \infty$

Proof. [2.12 of Milne] By induction on # generators of  $L/K$ :  
 $L = K[\phi] = K$  is obvious.

Suppose  $L = K\langle x_1, \dots, x_r \rangle$ . It is enough to show that each  $x_i$  is algebraic over  $K$ . Suppose otherwise.

$$\begin{array}{c} L = K[x_1, \underbrace{\dots, x_r}_{r-1 \text{ elements}}] \\ | \\ K[x_1] \\ | \leftarrow \text{not algebraic} \\ K \end{array}$$

By induction,  $x_2, \dots, x_r$  are algebraic over  $K(x_1)$ .

$$x_2^m + f_1 x_2^{m-1} + \dots = 0, f_i \in K(x_1)$$

We can clear denominators  $\Rightarrow \exists c \in K[x_1]$  so that

$c x_2, \dots, c x_r$  are integral over  $K[x_1]$ .

Exercise: Think about this?

Let  $f \in K(x_1) \subseteq K[x_1, \dots, x_r] \rightarrow$  for some large pw. of  $c$ ,

$$c^N f \in K[x_1, c x_2, \dots, c x_r]$$

Example:  $f = x_1 x_2, cf = x_1(c x_2) \in K[x_1, c x_2]$

We know that  $c x_2, \dots, c x_r$  are integral over  $K[x_1]$   
 $\Rightarrow c^N f$  is integral over  $K[x_1]$   $\cancel{\Leftarrow}$   
The same  $C$  cannot work for all  $f$ .

### Corollary of HWN

Every maximal ideal of  $\mathbb{C}[x_1, \dots, x_n]$  is  $((x-a_1)(x-a_2), \dots, (x-a_n))$   
For  $(a_1, \dots, a_n) \in \mathbb{C}^n$

Proof.

$(x-a_1, \dots, x-a_n)$  is clearly maximal.

So  $V(m)$  is a point

Conversely,  $m$  maximal  $\Rightarrow \mathbb{C}[x_1, \dots, x_n]/m$  is a field, hence  $\mathbb{C}$ .

There is only one  $\mathbb{C}$ -alg hom  $\mathbb{C} \rightarrow \mathbb{C}$ , so  $\exists!$  point  $P = (a_1, \dots, a_n)$

So  $I(\{P\})$

### Corollary

$\exists$  natural bijection  $\mathbb{C}^n \rightleftarrows \{\text{max. ideals of } \mathbb{C}[x_1, \dots, x_n]\}$

$\Downarrow$  all functions which vanish at  $P$

### Corollary

For  $V = V(I)$ ,  $I$  an ideal,  $\exists$  natural bijection

$V(I) \rightleftarrows \{\text{max. ideals of } (\mathbb{C}[x_1, \dots, x_n])/I\}$

Proof. Restrict to points of  $V(I)$ :

$V(I) \rightleftarrows \{\text{max. ideals of } (\mathbb{C}[x_1, \dots, x_n]) \text{ which vanish on } P \in V(I)\}$

$\rightleftarrows \{\text{max. ideals of } (\mathbb{C}[x_1, \dots, x_n]) \text{ which contain } I\}$

$\rightleftarrows \{\text{max. ideals of } (\mathbb{C}[x_1, \dots, x_n])/I\}$  ■

Foreshadowing: These correspondences allow us to understand algebraic sets by understanding quotients of polynomial rings.

Thm

Let  $W \subseteq \mathbb{C}^n$  any subset. Then  $V(I(W))$  is the smallest algebraic set containing  $W$ . So  $W \text{ alg.} \Rightarrow V(I(W)) \text{ alg.}$

Proof.

Suppose  $V(a) \supseteq W$ . Then  $a \subseteq I(W) \Rightarrow V(I(W)) \subseteq V(a)$  as required.  $\blacksquare$

Q. What about  $I(V(I))$ ?

For  $I \subseteq \mathbb{C}[x_1, \dots, x_n]$  an ideal,  $I(V(I)) \supseteq I$

Example  $n=1$ ,  $f(x) = x^2$ ,  $V(f) = \{0\}$ ,  $I(V(f)) = I(\{0\}) = (x)$

and  $(x) \supsetneq (x^2)$

Thm (Hilbert's strong Nullstellensatz)

2.16 in Milne

$$I(V(I)) = \sqrt{I} = \{f \in \mathbb{C}[x_1, \dots, x_n] : f^N \in I \text{ for some } N\}$$

Proof.

Let's call the ideal  $a$ .  $I(V(a)) \supseteq \sqrt{a}$

$f \in \sqrt{a} \Rightarrow \exists n, f^n \in a \Rightarrow f^n$  vanishes on  $V(a) \Rightarrow f$  vanishes on  $V(a)$  (the complex numbers have no nilpotents).

WTS. If  $h$  vanishes on  $V(a)$ , then  $h^N \in a$  for some  $N$ .

By HBT,  $a = (g_1, \dots, g_m)$ .

Consider the system on  $\mathbb{C}^{n+1}$ ,  $\mathbb{C}[x_1, \dots, x_n, y]$

$$\begin{cases} g_i(x_1, \dots, x_n) = 0 & \forall i \\ 1 - y h(x_1, \dots, x_n) = 0 \end{cases}$$

If  $(a_1, \dots, a_n, b)$  satisfies the system, then  $(a_1, \dots, a_n) \in V(a)$ ,  
 $h(a_1, \dots, a_n) = 0$ , so the last eqn cannot be satisfied.

So  $V(g_1, \dots, g_m, 1-yh) = \emptyset$  By WHN:

$\exists f_1, \dots, f_{m+1}$  such that  $1 = \sum_{i=1}^m f_i g_i + f_{m+1}(1-yh)$

Send  $y \mapsto h^{-1}$ ,  $\mathbb{C}[x_1, \dots, x_n, y] \rightarrow \mathbb{C}(x_1, \dots, x_n)$

$$1 = \underbrace{\sum f_i(x_1, \dots, x_n, h^{-1})}_{F_i(x_1, \dots, x_n)} \cdot g_i(x_1, \dots, x_n)$$

Multiplying out we get  $h^N = \sum F_i g_i$  ■

**Corollary**

$a \leftrightarrow V(a)$  gives a nat. bij.

$\{\text{alg. subsets of } \mathbb{C}^n\} \rightleftharpoons \{\text{radical ideals } \mathbb{C}[x_1, \dots, x_n]\}$